# Rural Carrier Cost System (RCCS) Documentation

### I. PREFACE

### A. Purpose and Content

**USPS-FY19-35** documents the statistical design of the Rural Carrier Cost System (RCCS). It contains documentation of the statistical design and the programs used to develop volume estimates for classes, products, and price categories of mail collected and delivered on rural routes. Also included are proportions, coefficients of variation (CVs), and confidence intervals for the estimates.

### **B. Predecessor Document**

Documentation of statistical design and estimation were provided previously in Docket No. R2006-1, USPS-LR-L-12 and ACR 2018, USPS-FY18-35.

### C. Corresponding Non-Public or Public Document

A non-public version of this document is provided as USPS-FY19-NP23.

### D. Methodology

For FY19, the RCCS statistical design and estimation have incurred no substantive changes and are described in the RCCS System Documentation section below.

A new variable (TESTTYPE) has been added to the Z file to indicate the DPS mail sampling method. This variable is included on the attached list of variables.

### E. Input/Output

Volume estimates from the RCCS rely on no input data. Outputs from the RCCS are used as inputs to:

USPS-FY19-19	FY 2018 Delivery Costs By Shape
USPS-FY19-32	FY 2018 CRA "B" Workpapers (Public Version)
USPS-FY19-NP6	FY 2018 International Cost Segments Workpapers

USPS-FY19-NP14

FY 2018 CRA "B" Workpapers (Nonpublic Version)

### II. ORGANIZATION

The relevant source code and outputs from the RCCS are provided in the filing. The 'RCCS\_ReadMe\_FY19' file describes the contents of the filing, which includes an Excel file containing proportions, coefficients of variation (CVs), and confidence intervals for RCCS estimates. Additionally, an overview of the statistical design and descriptions of the estimation processes are described in the system documentation sections below

### III. RCCS SYSTEM DOCUMENTATION

### A. Overview

The RCCS is a continuous, ongoing statistical study, or probability sample of rural carrier route-days. Approximately 6400 RCCS samples are scheduled each Fiscal Year. For each selected route-day, a sample of mail is selected, and for each selected mailpiece, the class, product, compensation category, and shape of mail is recorded directly into a portable microcomputer using the Computerized On-Site Data Entry Systems (CODES) software.

The RCCS gathers data for distributing major portions of carriers' salaries, benefits and related costs to the various categories of mail for postal ratemaking purposes. Accrued carrier costs, available from payroll data, are total amounts and are not generally associated with any particular class of mail or service. Therefore, special methods are needed to determine the costs associated with the various products and services.

Rural carrier activity consists of delivering mail to and collecting mail from delivery receptacles or customers located on rural routes. In addition it includes certain activities such as providing Extra Services, collecting postage, and selling stamps. A rural carrier conducts almost all of the activities of a post office.

Rural delivery is organized and operated in terms of individual routes. Rural routes are divided into two broad categories, depending on the way the carrier is paid. Most rural routes are evaluated routes, that is, the rural route is evaluated in terms of time standards, and the carrier is paid a salary based on the evaluated time. Evaluated routes include the H, J, and K route types. The evaluated time is developed from route factors such as route length, boxes served, and quantity of mail delivered. Other routes include mileage routes, type M, paid on the basis of mileage on the route, and auxiliary routes, type A, paid on the basis of hours worked.

### B. Use of RCCS Data in Cost Attribution

Total accrued costs for rural carriers are summarized in Cost Segment 10 (CS 10). The costs are divided into separate components for evaluated routes and other routes, based on payroll records.

The route factors are measured during the National Rural Mail Count, which is usually conducted annually. During the National Rural Mail Count, all mail for a large proportion of the rural routes is counted, and time measurements for other factors are evaluated. Therefore, factors related to volume (volume variable cost drivers) and factors independent of volume (fixed cost drivers) are measured during the National Rural Mail Count.

The volume variable costs of rural carrier work hours are determined by a variability analysis developed in accordance with the evaluated time and factors of workload derived from the rural routes participating in the National Rural Mail Count. Volume variable costs are determined for each of the evaluated and other route components of Cost Segment 10.

Data from the RCCS are used to distribute volume variable costs across classes, products – including Extra Services, and price categories. The delivery portion of the RCCS (data collected via the CODES data collection system) provides the mail category data for the distribution of volume variable mail delivery costs. The PS Form 2848 portion of the RCCS provides the mail category data for the distribution of volume variable mail collection costs.

### C. STATISTICAL STUDY DESIGN

The universe under study in RCCS is all mail being delivered on rural routes. A stratified, three stage sample design is used for RCCS. The details for each of the stages are listed below.

### First Stage Sample (Route)

The first stage sample is a stratified random sample of route-days. Every rural route is assigned to one of two strata based upon whether the route is in a district having 20 or fewer rural routes or if it is in a district with more than 20 rural routes. Within each stratum, routes are geographically ordered, and a systematic random sample of routes is selected. Possible delivery dates (every Monday through Saturday, excluding holidays) are randomized, and systematically assigned to selected routes, to determine the route-days, or first stage sample units to be enumerated. This selection process

ensures both geographic and temporal dispersion of the sampled route-days, and helps control workload at the district level.

### **Second Stage Sample (Office)**

The second stage sample unit is the office, when the selected route serves one or more intermediate offices. In that case, one office served by the route is randomly selected. However, most (about 96 percent) of the routes do not serve intermediate offices, and are completely enumerated at the second stage.

### Third Stage Sample (Mailpiece)

The third stage sampling unit is a mailpiece. Parcels and accountables are usually sampled with certainty. A systematic sample of letters and flats is selected. The data collector determines the skip interval ("s") to be used – typically 10 – and the CODES software generates a random number "r", between one and "s". The data collector selects the "rth" piece, and every "sth" piece thereafter. The recommended skip interval is 10. Data collectors are allowed to change skip intervals as the need arises. The skip interval used is stored on each mailpiece record.

### D. ESTIMATION AND VARIANCE

The RCCS produces two types of estimates—volumes and distribution keys (ratios). Estimates are generally computed on a quarterly and annual basis, and the annual volume estimates are the sum of the four quarterly estimates. This section provides the formulas used for FY 2019 to calculate the volumes, distribution keys, and the coefficients of variation associated with those estimates.

### Notation:

У	variable of interest
W	weighting factor
h	postal quarter
i	compensation category domain
j	product or rate category domain
k	stratum
1	route-day
N	universe count – the number of routes in the stratum
n	completed tests in the stratum
d	delivery days in the postal quarter
S	skip utilized on a record (third stage weight)
r	intermediate office weight (second stage weight)

$\hat{Y}$	estimate of the total volume
$\hat{R}$	estimate of the distribution key
Cov	estimate of the covariance
$\hat{V}$	estimate of the variance
CV	estimate of the coefficient of variation

The weight applied to each record consists of four parts. First is the number of delivery days, d, in each quarter. Second is the first stage weight, indicated by  $N_{hk}/n_{hk}$ . Third is the intermediate office weight r (second stage), which is applied on a testid basis and is almost always 1. Finally there is the skip interval, s, which is applied to each record in a test. Dividing by 1000 causes the estimates to be reported in thousands. This weighting process yields unbiased estimates of mail volumes assuming any missing tests are missed at random.

The weighting factor is:

$$w_{hk} = \left(\frac{d_h \times N_{hk} \times r \times s}{n_{hk} \times 1000}\right)$$

Variates are defined as follows:

$$y'_{hijkl} = \begin{cases} y_{hijkl} & \text{if the unit is in the i}^{th} \text{ and } j^{th} \text{ domains} \\ 0 & \text{otherwise} \end{cases}$$

$$x'_{hikl} = \begin{cases} x_{hikl} & \text{if the unit is in the i}^{\text{th}} \text{ domain} \\ 0 & \text{otherwise} \end{cases}$$

The quarterly volume for the intersection of the  $i^{th}$  compensation category domain and  $j^{th}$  product is

$$\hat{Y}_{hij} = \sum_{k} \sum_{l} w_{hk} y'_{hijkl}$$

The quarterly volume for the ith compensation category domain is

$$\hat{X}_{hi} = \sum_{k} \sum_{l} w_{hk} x'_{hikl}$$

The quarterly distribution key for the i<sup>th</sup> compensation category domain and j<sup>th</sup> product is

$$\hat{R}_{hij} = rac{\hat{Y}_{hij}}{\hat{X}_{hi}}$$

The annual volume for the i<sup>th</sup> compensation category domain and j<sup>th</sup> product is

$$\hat{Y}_{ij} = \sum_{h=1}^{4} \hat{Y}_{hij}$$

The annual volume for the ith compensation category domain is

$$\hat{X}_i = \sum_{h=1}^4 \hat{X}_{hi}$$

The annual distribution key for the intersection of the i<sup>th</sup> and j<sup>th</sup> domains is

$$\hat{R}_{ij} = \frac{\hat{Y}_{ij}}{\hat{X}_{:}}$$

### **Variance**

In computing the sampling error on the estimates, an ultimate cluster variance estimator is used. An assumption is made that the sampling error within routes is very small relative to the overall sampling error. Therefore, the variance formula used is similar to a single-stage total or ratio estimate, except that it omits the finite population correction (*fpc*) factor.

The estimated stratum mean by postal quarter for the intersection of the ith and jth domains is

$$\overline{y}'_{hijk} = \frac{\sum_{l} y'_{hijkl}}{n_{hk}}$$

$$\hat{S}_{hijk}^{2} = \frac{\sum_{l} (y_{hijkl}^{'} - \overline{y}_{hijk}^{'})^{2}}{n_{hk} - 1}$$

The estimated stratum variance for the quarterly volume for the intersection of the i<sup>th</sup> and i<sup>th</sup> domains is

$$V(\hat{Y}_{hijk}) = \frac{w_{hk}^2 \hat{S}_{hijk}^2}{n_{hk}}$$

The estimated variance for the quarterly volume for the intersection of the i<sup>th</sup> and j<sup>th</sup> domains is

$$V(\hat{Y}_{hij}) = \sum_{k} V(\hat{Y}_{hijk})$$

The estimated variance for the annual volume for the intersection of the  $i^{th}$  and  $j^{th}$  domains is

$$V(\hat{Y}_{ij}) = \sum_{h} V(\hat{Y}_{hij})$$

The estimated stratum mean by postal quarter for the intersection of the ith domain is

$$\overline{x}'_{hikl} = \frac{\sum_{l} x'_{hikl}}{n_{hk}}$$

$$S_{hik}^{2} = \frac{\sum_{l} (x_{hikl}^{'} - \overline{x}_{hik}^{'})^{2}}{n_{hk} - 1}$$

The estimated stratum variance for the quarterly volume for the ith domain is

$$V(\hat{X}_{hik}) = \frac{w_{hk}^2 \hat{S}_{hik}^2}{n_{hk}}$$

The estimated variance for the quarterly volume for the ith domain is

$$V(\hat{X}_{hi}) = \sum_{k} V(\hat{X}_{hik})$$

The estimated variance for the annual volume for the ith domain is

$$V(\hat{X}_i) = \sum_h V(\hat{X}_{hi})$$

The estimated stratum covariance between the quarterly volumes for the intersection of the  $i^{th}$  and  $j^{th}$  domains is

$$Cov(\hat{Y}_{hijk}, \hat{X}_{hik}) = w_{hk}^2 \hat{S}_{yxhijk}$$

where

$$\hat{S}_{yxhijk} = \frac{\sum_{l} \left( y'_{hijkl} - \overline{y}'_{hijk} \right) \left( x'_{hikl} - \overline{x}'_{hik} \right)}{n_{hk} - 1}$$

The estimated covariance between the quarterly volumes for the intersection of the i<sup>th</sup> and j<sup>th</sup> domains is

$$Cov(\hat{Y}_{hij}, \hat{X}_{hi}) = \sum_{k} Cov(\hat{Y}_{hijk}, \hat{X}_{hik})$$

The estimated covariance between the annual volumes for the intersection of the i<sup>th</sup> and j<sup>th</sup> domains is

$$Cov(\hat{Y}_{ij}, \hat{X}_i) = \sum_h Cov(\hat{Y}_{hij}, \hat{X}_{hi})$$

The estimated relative variance (the square of the coefficient of variation) for the quarterly distribution key for the intersection of the  $i^{th}$  and  $j^{th}$  domain is

$$(CV)^{2}(\hat{R}_{hij}) = \left(\frac{V(\hat{Y}_{hij})}{\hat{Y}_{hij}^{2}} + \frac{V(\hat{X}_{hi})}{\hat{X}_{hi}^{2}} - \frac{2Cov(\hat{Y}_{hij}, \hat{X}_{hi})}{\hat{X}_{hi}\hat{Y}_{hij}}\right)$$

The estimated relative variance for the annual distribution key for the intersection of the i<sup>th</sup> and j<sup>th</sup> domain is

$$(CV)^{2}(\hat{R}_{ij}) = \left(\frac{V(\hat{Y}_{ij})}{\hat{Y}_{ii}^{2}} + \frac{V(\hat{X}_{i})}{\hat{X}_{i}^{2}} - \frac{2Cov(\hat{Y}_{ij}, \hat{X}_{i})}{\hat{X}_{i}\hat{Y}_{ij}}\right)$$

### E. Quarterly Volume Estimates and Distribution Keys

Once the rural carrier data for an entire quarter have been validated, quarterly volume estimates and distribution keys are produced. The estimated volumes are compared with the same period from the previous year and with estimates from other statistical systems. Substantial differences between the reports are investigated for additional quality assurance. The quarterly distribution keys programs are as follows:

Quarterly estimation is a five-step process. First, monthly files are concatenated to form the quarterly file. Second, the weights used in the estimation procedures are produced. Third, collection mail volume estimates are calculated. Fourth, delivery volume estimates are calculated. Fifth, the Z file is produced.

ALDRAN.FY2019Qq.RURAL.CNTL(ALD399V8) is run to concatenate monthly files to form the quarterly file.

ALDRAN.FY2019Qq.RURAL.CNTL(RKEYA1) produces first-stage weights to be applied to the data received from the ALD399 program. It executes DSN=ALDRAN.FY2019Qq.RURAL.PARMLIB(ALD750JZ) that calculates the first-stage weights applied to all weighted volume estimates.

ALDRAN.FY2019Qq.RURAL.CNTL(RKEYA2) processes collection mail counts. It executes SAS code in DSN=ALDRAN.FY2019Qq.RURAL.PARMLIB(ALD750X3) to calculate the weighted volumes for collection mail data.

ALDRAN.FY2019Qq.RURAL.CNTL(RKEYB1) processes delivered mail counts. It merges 1) the stratum from the sample selection file, 2) the weights for each stratum from the weights file, and 3) the mail category information from the mailcode file onto the raw mail counts file. The program then sums up the information to two levels – mailcode, for external use, and CRA Bucket, for internal use. The output format used by RCCS is a 4-character mailcode variable {class, product, compensation category, and DMM shape (A=Letter, B=Flat, C=Parcel)}. Descriptions for each mailcode with estimated volumes are provided in the RCCS output file ALDRAN.RURAL.FY2019.MCODE.DATA.

### OUTPUT

ALDRAN.FY19.RURAL.Qq.MCODE – Weighted data for each mailcode (Layout 001)

ALDRAN.FY19.RURAL.Qq.CRABKT – Weighted data for each CRA bucket.

ALDRAN.FY2019Qq.RURAL.CNTL(ZFILE2) reproduces sections of the ALD399V8 and RKEYA1 programs to reproduce data by testid, mailcode, and skip. The resulting quarterly SAS data files ALDRAN.RURAL.Z.FY2019Qq are combined into an annual data set and sent to the Postal Regulatory Commission. The SAS data set extension is RAWCNTS.

### <u>OUTPUT</u>

Quarterly Z File – ALDRAN.RURAL.Z.FY2019Qq (Layout 002)

### F. Annual Estimates

Annual volume estimates are used to distribute costs to mail categories. The volumes are calculated by summing the quarterly volumes. The annual volumes program is executed from the following location: ALDRAN.FY2019.RURAL.CNTL. Two members are utilized to produce the annual volume estimates.

ALDRAN.FY2019.RURAL.CNTL(SMICOLL) is used to produce annual rural collection mail volumes.

### OUTPUT

DSN=ALDRAN.RURAL. FY2019.COLL.DATA – for collected mail volume estimates.

ALDRAN.FY2019.RURAL.CNTL(SMIMCOD) is used to produce annual rural delivery mail volumes.

### OUTPUT

DSN=ALDRAN.RURAL.FY2019.MCODE.DATA for delivered mail volume estimates (Layout 001).

### IV. RCCS DIGITAL DPS SYSTEM DOCUMENTATION

### A. Overview

The Rural Carrier Cost System (RCCS) Digital DPS is a probability sample of rural carrier ZIP-days. This RCCS subsystem uses data from Origin-Destination Information System – Revenue, Pieces, and Weight (ODIS-RPW) digital samples destined for delivery by city carriers to enhance the estimation of delivered DPS volumes and replace a large portion of manual sampling of DPS letter trays by RCCS data collectors. ODIS-RPW is also a probability based destinating mail sampling system used to collect volume information where data collectors record mail characteristics from sampled mail pieces. Since the approval of Proposal Three in Docket No. RM2015-11 by Commission Order No. 2739 (September 30, 2015), ODIS-RPW data collectors enter mail characteristics from digitally captured images of letter- and card- shaped mail from Delivery Barcode Sequence (DBCS) second pass operations, eliminating the need for manual sampling of DPS letters and cards.

### B. Use of RCCS Digital DPS Data in Cost Attribution

Total accrued costs for rural carriers are summarized in Cost Segment 10 (CS 10). The costs are divided into separate components for evaluated routes and other routes, based on payroll records.

The route factors are measured during the National Rural Mail Count, which is usually conducted annually. During the National Rural Mail Count, all mail for a large proportion of the rural routes is counted, and time measurements for other factors are evaluated. Therefore, factors related to volume (volume variable cost drivers) and factors independent of volume (fixed cost drivers) are measured during the National Rural Mail Count.

The volume variable costs of rural carrier work hours are determined by a variability analysis developed in accordance with the evaluated time and factors of workload derived from the rural routes participating in the National Rural Mail Count. Volume variable costs are determined for each of the evaluated and other route components of Cost Segment 10.

Data from RCCS are used to distribute the volume variable costs to classes, products – including Extra Services, and rural evaluation categories. The delivery portion of RCCS (data collected via the CODES data collection system) provides mail category data for distribution of the volume variable mail delivery costs.

### C. Statistical Study Design

The universe under study in RCCS Digital DPS is all DPS mail being delivered on rural letter routes in ZIP Codes that exist in the ODIS-RPW Digital frame. For rural routes in ZIP Codes not in the ODIS-RPW Digital frame, RCCS manual sampling of DPS mail at the route level will still continue. A two-stage sample design is used for RCCS Digital DPS. The details for each of the stages are described below.

Starting in PQ1 FY18, the RCCS sampling frame was changed to the Universal Delivery Statistics File (UDSF) from the previous Rural Master Pay File. This change was made in order to align the RCCS-Digital frame with the ODIS-RPW Digital frame. While the Rural Master Pay File lists all rural routes, in some cases the ZIP Code did not match that of the Mail Exit Point (MEP) used by ODIS-RPW. The UDSF, having been developed from the Address Management System (AMS) lists all rural routes, and ZIP Codes do align with the ODIS-RPW frame.

### **First Stage Sample**

The ODIS-RPW Digital sample selection process provides a systematic random sample of ZIP-days within each stratum. The ODIS-RPW selection process ensures both geographic and temporal dispersion of the sampled ZIP-days. The use of End-of-Run DPS totals ensures proper weights are used to produce national estimates.

### Second Stage Sample (Mailpiece)

The second stage sampling unit is a mailpiece. A systematic digital sample of DPS letter images is obtained, and data from these images are entered by ODIS-RPW data collectors. A subset of these data destined for rural routes only are then processed and expanded to the ZIP-day level using End-of-Run DPS totals for that ZIP.

### Relationship to non-digital RCCS

After creating the RCCS sample for each quarter, rural routes are identified that are not included within ZIP Codes covered by ORPW-Digital testing, and therefore not estimated by RCCS-Digital. The DPS mail volumes for these routes will continue to be estimated by the existing manual sampling procedures in non-digital RCCS tests. Volume estimates from both systems are added together to obtain the final RCCS estimates.

### D. Estimation and Variance

RCCS produces two types of estimates - volumes and distribution factors (distribution key ratios). A description of the estimates is provided in the overview. The estimates are computed on a quarterly and annual basis. The annual volume estimates are the sum of the quarterly estimates. This section provides the formula for the weighting factor. The formulas for variance, covariance and distribution factors are the same as for non-digital RCCS.

### **Notation:**

h postal quarter

s skip utilized on record (first stage weight)

T total Rural EOR volume for all ZIP Codes in the digital frame for postal quarter

d weighting factor (second stage weight)

t sampled total volume for postal quarter

n number of sampled pieces on test

v Rural EOR mail volume for the tested ZIP Code on the test date

i compensation category domain

j product or rate domain category

z ZIP-day

I route-day (used for manual sampling weighting)

w weighting factor (used for manual sampling weights)

The weight applied to each record consists of two parts. The first stage weight, indicated by s, is the implied skip. This is calculated by dividing the total Rural End of Run volume in a tested ZIP by the number of RCCS pieces sampled in that ZIP. The second stage weight is indicated by  $T_h/t_h$ . This is the total volume for the postal quarter in the RCCS digital frame divided by the sampled total volume.

$$s = \frac{v}{n}$$

$$t_h = \sum v$$

$$d_h = \frac{s \times T_h}{t_h \times 1000}$$

Variate is defined as follows:

$$y'_{hijz} = \begin{cases} y_{hijz} \text{ if the unit is in the } i^{th} \text{ and } j^{th} \text{ domain } \\ 0 \end{cases}$$

The quarterly volume for the digitally collected mailpieces for the j<sup>th</sup> product is

$$\widehat{Y}_{hij} = \sum_{z} d_h y'_{hijz}$$

The total quarterly volume for the j<sup>th</sup> product is the sum of the quarterly volume of the digitally collected mailpieces and the quarterly volume of the manually sampled mailpieces.

$$\hat{Y}_{hij} = \sum_{z} d_h y'_{hijz} + \sum_{k} \sum_{l} w_{hk} y'_{hijkl}$$

### E. Processing ODIS-RPW Digital Data

For each ODIS-RPW Digital test, an Image Attribute File is created that provides details that include the destinating carrier route. The Image Attribute files are downloaded bimonthly and concatenated as a quarterly file to be merged with ODIS-RPW digital data at a later step.

Image Attribute Read In (PC SAS)

### <u>INPUTS</u>

Bi-Monthly Image Attribute Files pulled from SPView

### <u>OUTPUTS</u>

Quarterly Image Attribute File - ALDRAN.DIG.IAR.FYyy.PQq

ALDRAN.FYyyyy.CHECKIN.JOBS(CHCKNDIG) Weekly mainframe programs copy ODIS-RPW digital data and save to a format consistent with RCCS processing.

**INPUTS** 

HSISMN.ORPW.WEEKLY.DATA.FYyymmwk

**OUTPUTS** 

ALDRAN.DIG.WEEKLY.DIGyymmwk

ALDRAN.FYyyyyQq.RURALDIG.CNTL(ALD501) concatenates the 5 weekly files to create a monthly file.

<u>INPUTS</u>

ALDRAN.DIG.WEEKLY.DIGyymmwk

<u>OUTPUTS</u>

ALDRAN.DIG.MONTHLY.DIGyymm

### **Control Totals**

At the end of each PQ, control totals are calculated based on the EOR data from Network Operations Data Mart (NODM). All rural mail volumes for ZIP Codes in the RCCS digital frame are totaled for each day in the quarter. These totals are used in the expansion of data in a later stage.

### F. Quarterly Volume Estimates and Distribution Factors

Once the rural carrier Digital DPS data for an entire quarter have been validated, quarterly volume estimates and distribution factors are produced. The estimated volumes are compared with the same quarter from the previous year and with estimates from other statistical systems. Substantial differences between the reports are investigated for additional quality assurance.

Quarterly volume estimation is a four-step process. First, monthly files are concatenated to form the quarterly file. Second, the weights used in the estimation procedures are produced. Third, delivery volume estimates are calculated. Fourth, the Z file is produced. The quarterly estimation programs are as follows:

ALDRAN.FYyyyyQq.DIG.CNTL(ALDDIGQq) is run to concatenate monthly files to form the quarterly file, merge in the quarterly Image Attribute File, filter out only records destined for rural carrier routes, and assign correct delivery dates and RCCS bucket number to each record.

### INPUTS:

Image Attribute Report – DSN = ALDRAN.DIG.IAR.FYyy.PQq Validated Monthly Data Files – DSN=ALDRAN.DIG.MONTHLY.DIGyymm

Example for FY19 month 10: ALDRAN.DIG.MONTHLY.DIG1910

Only those tests that actually belong in the quarter (indicated by the first digit of the testid) are used for estimation. Below is a list of the months that should be used as inputs for the estimation for each quarter:

PQ1 includes months 10, 11, and 12.

PQ2 includes months 01, 02, and 03.

PQ3 includes months 04, 05, and 06.

PQ4 includes months 07, 08, and 09.

### **OUTPUTS**:

The SAS dataset DSN = ALDRAN.DIG.RURAL.SHAPE.FILE.FYyyyyQq

ALDRAN.FYyyyyQq. DIG.CNTL(RURLA1Qq) produces first-stage and second-stage weights to be applied to the data received from the ALDQq program.

### **INPUTS**:

Quarterly Shape File - ALDRAN.DIG.RURAL.SHAPE.FILE.FYyyyyQq

RCCS Frame - ALDRAN.PS401T01.RURAL.PQqFYyy

RPW Digital Letter Frame - HSISMN.ORPW.DIGITAL.FRAMDATA.FYyyQTq

### NODM PQ Extract Files - ALDRAN.DIG.RURAL.EOR.PQqFYyy

### <u>OUTPUTS</u>

Flat file with weights for processing data - ALDRAN.DIG.RURAL.FYyy.PQq.WGT.DATA

ALDRAN.FYyyyyQq. DIG.CNTL(RURLB1Qq) processes delivered mail counts. It merges the mail category information from the mailcode file onto the weighted mail counts file from RURLA1Qq. This programs also produces the z-file for digital data for the postal quarter.

### <u>INPUTS</u>

File with weights

DSN=ALDRAN.DIG.RURAL.FYyy.PQq.WGT.DATA

File with mail category information for the mailcode output file

DSN=ALDRAN.FYyyyyQq.SORTED.MAILCODE(RURLDIG1)

### <u>OUTPUTS</u>

Weighted data for each mailcode

DSN=ALDRAN.DIG.RURAL.MCODE.FYyyPQq

Weighted data for each CRA bucket

DSN=ALDRAN..DIG.RURAL.CRA.FYyyPQq

Quarterly Z File

DSN=ALDRAN.DIG.RURAL.Z.FYyyPQq

After RCCS Digital and Regular RCCS have completed processing of the respective MCODE and CRABKT files, they are read into a PCSAS program that combines the Digital and non-Digital DPS estimates to produce the final volumes and distribution factors in the quarterly RCCS Matrix spreadsheet.

# **Rural Mcode File Layout - 001**

# Position Description 1 - 15 Volume 18 - 21 Mailcode 25 - 27 Bucket number (Layout 004) 30 - 80 Mailcode description

### **Rural Z File Layout - 002**

### SAS Variable Name Description

BKTCHAR Letter Character BKTNUM Bucket Number

COMPLETE Total number of completed delivery tests in the quarter

COUNT Unweighted count of mailpieces for the record

DELDAYS

Delivery days in the quarter
The first stage weight

F2848 Total number of collection mail forms completed in the quarter

F28WGT Weight assigned to collection mail strata

INTWT Intermediate office weight (second stage weight)

MASTER Stratum universe count of routes

SCODE Mailcode for the record

SEQ Sequence number for the record

SKIP Skip interval for record (third stage weight)
STRATUM Stratum in which the route (testid) exists

NOPIECES Total mailpieces for the record weighted to third stage

TESTID Identification number for test TESTTYPE DPS mail sampling method

WGT DELWGT/1000

## **Test Type Descriptions**

Test Type	Description
D01	DPS mail is manually sampled
D02	DPS mail is digitally sampled

# **Rural Collection File Layout - 003**

- 1 2 Line Number
- 4 23 Rate Category
- 26 36 Letter, Card and Flat Volumes
- 38 48 Prepaid Parcels less than or equal to 2lbs Volumes
- 50 60 Other Parcels Volumes
- 62 72 Prepaid Parcels greater than 2lbs Volumes
- 74 84 Certified and Registered Mail Volumes
- 86 96 Carrier Pickup Volumes
- 98 108 Total by Rate Category

# **Bucket Descriptions Layout - 004**

Bucket	Description
	•
001	'FIRST-CLASS MAIL
111	' SINGLE PIECE LETTERS
112	' SINGLE PIECE FLATS
113	' FIRST-CLASS PARCELS (MD)
121	' PRESORT LETTERS
122	' PRESORT FLATS
141	' SINGLE PIECE CARDS
151	' PRESORT CARDS
189	' TOTAL FIRST-CLASS MAIL
210	'PERIODICALS
300	MARKETING OR STANDARD MAIL
311	' MARKETING OTHER LETTERS
312	' MARKETING OTHER FLATS
313	' MARKETING OTHER PARCELS
320	' TOTAL MARKETING OTHER
330	1
331	' ECR BASIC LETTERS
332	' ECR BASIC FLATS
333	' ECR BASIC PARCELS
350	(
351	' ECR HI-DENSITY LETTERS
352	' ECR HI-DENSITY FLATS
353	' ECR HI-DENSITY PARCELS
360	' ECR EDDM RETAIL
361	' ECR SATURATION LETTERS
362	' ECR SATURATION FLATS
363	' ECR SATURATION PARCELS
370	' TOTAL ECR
380	1
390	'
395	'
399	' TOTAL MARKETING OR STANDARD
400	1
401	'PACKAGE SERVICES
410	1
442	' BOUND PRINTED MATTER FLATS
443	' BOUND PRINTED MATTER PARCELS
450	' MEDIA AND LIBRARY
490	' TOTAL PACKAGE SERVICES
600	1
610	'U.S. POSTAL SERVICE
620	'FREE MAIL
630	1

Bucket	Description
890	'TOTAL DOMESTIC MAIL
900	'TOTAL ALL MAIL
901	' ACCT POSTAGE DUE
902	' ACCT BUSINESS REPLY
903	' ACCT CERTIFIED
904	' ACCT COD
905	' ACCT NUMBERED INSURED
906	' ACCT REGISTERED
907	' ACCT RETURN RECEIPT
908	' ACCT DELIVERY CONFIRMATION
909	' ACCT SIGNATURE CONFIRMATION
910	' ACCT ADULT SIGN. REQUIRED
911	' ACCT ADULT SIGN. RESTRICTED
912	' USPS TRACKING NUMBER (ONLY)
919	' ACCT OTHER
990	' OTHER MAIL CLASS
997	' FIRST-CLASS SINGLE PIECE LETTERS
	AND CARDS
998	FIRST-CLASS PRESORT LETTERS AND
	CARDS
999	' COMPETITIVE PRODUCTS

# **Rural Digital DPS Z File Layout - 005**

The variable names and explanations follow. (not extensive list)

### SAS Variable Name Description

BKTCHAR Letter Character BKTNUM Bucket Number

DELWGT The first stage weight MAILCODE Mailcode for the record

SKIP Skip interval for record (second stage weight)

SEQ Sequence number for the record

NOPIECES Total mailpieces for the entry weighted by the skip interval

TESTID Identification number for test

WGT DELWGT/1000

EOR Daily volume of DPS mail for the tested zipcode day

TOTAL\_SAMPLED Total sampled volume of DPS mail for the strata for the quarter

CONTROL\_TOTAL Total volume of DPS mail in the strata for the quarter